Docket No.   246628US2CONT/ams

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takao SHINOZAWA, et al.

SERIAL NO: 10/737,120   GAU:

FILED: December 17, 2003   EXAMINER:

FOR: HOMOLOGY ANALYSIS SYSTEM, HOMOLOGY ANALYSIS METHOD, HOMOLOGY ANALYSIS PROGRAM, AND TRANSACTION ESTABLISHMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:
    Applicant(s) wish to disclose the following information.

REFERENCES

■   The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐   A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐   Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐   A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).
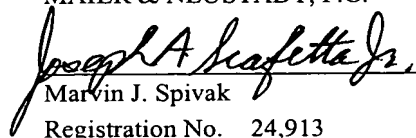
CERTIFICATION

☐   Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐   No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■   Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak
Marvin J. Spivak
Registration No.   24,913

Joseph A. Scafetta, Jr.
Registration No. 26, 803

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

DOCKET NO.: 246628US2CONT/as

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Takao SHINOZAWA, et al.

SERIAL NO: 10/737,120   GROUP:

FILED: December 17, 2003   EXAMINER:

FOR: HOMOLOGY ANALYSIS SYSTEM, HOMOLOGY ANALYSIS METHOD, HOMOLOGY ANALYSIS PROGRAM, AND TRANSACTION ESTABLISHMENT SYSTEM

STATEMENT OF RELEVANCY

References AW and AX on Form PTO-1449 are discussed in the specification.

OIPE
MAR 1 6 2004
PATENT & TRADEMARK

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 246628US2CONT	SERIAL NO. 10/737,120
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Takao SHINOZAWA, et al.	
		FILING DATE December 17, 2003	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	T. HORIIKE, et al., Nature Cell Biology, vol. 3, no. 2, pages 210-214, "ORIGIN OF EUKARYOTIC CELL NUCLEI BY SYMBIOSIS OF ARCHAEA IN BACTERIA IS REVEALED BY HOMOLOGY-HIT ANALYSIS", February 2001
	AX	Horiike TOKUMASA, et al., Programs and Book of Abstracts of the 23th Annual Meeting of the Molecular Biology Society of Japan, 4PA-155", December 13, 2000 (with partial English translation)
	AY	
	AZ	☐ Additional References sheet(s) attached

Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.